USDC SCAN INDEX SHEET











BALASUBRAMANI

MERRILL LYNCH

MR

3:95-CV-00628

\*26\*

\*APPJGM.\*

## UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT

- - - - - - - - - - - - -

NO. 95-56547

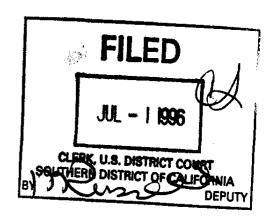
CT/AG#: CV-95-00628-JNK

G BALASUBRAMANI

Plaintiff - Appellant

v.

MERRILL LYNCH, PIERCE, FENNER & SMITH, Inc. Defendant - Appellee

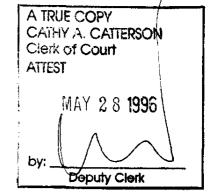


APPEAL FROM the United States District Court for the Southern District of California (San Diego) .

THIS CAUSE came on to be heard on the Transcript of the Record from the United States District Court for the Southern District of California (San Diego) and was duly submitted.

ON CONSIDERATION WHEREOF, It is now here ordered and adjudged by this Court, that the judgment of the said District Court in this cause be, and hereby is AFFIRMED.

Filed and entered May 3, 1996



5/24/

## NOT FOR PUBLICATION

FILED

UNITED STATES COURT OF APPEALS

FOR THE NINTH CIRCUIT

MAY - 31996

CATHY A. CATTERSON, CLERK U.S. COURT OF APPEALS

| G. BALASUBRAMANI,                            | ) No. 95-56547           |
|--|--------------------------|
| Plaintiff-Appellant,                         | D.C. No. CV-95-00628-JNK |
| v.   | )                        |
| MERRILL LYNCH, PIERCE, FENNER & SMITH, INC., | ) MEMORANDUM*            |
| Defendant-Appellee.                          | )<br>)<br>)              |

Appeal from the United States District Court for the Southern District of California Judith N. Keep, Chief Judge, Presiding

Submitted April 30, 1996\*\*

Before: BROWNING, REINHARDT and FERNANDEZ, Circuit Judges.

G. Balasubramani appeals pro se the district court's Fed. R. Civ. P. 12(b)(1) dismissal for lack of subject matter jurisdiction of his petition to vacate a National Association of Securities

Dealer's arbitration award, pursuant to the Federal Arbitration

Act, 9 U.S.C. § 1 et seq. In the underlying action, Balasubramani alleged that Merrill Lynch brokers engaged in unauthorized trading and use of margin, resulting in his losses in the stock market crash of 1987. For the reasons stated in the district court's

<sup>\*</sup> This disposition is not appropriate for publication and may not be cited to or by the courts of this circuit except as provided by 9th Cir. R. 36-3.

<sup>\*\*</sup> The panel unanimously finds this case suitable for decision without oral argument. Fed. R. App. F. 34(a); 9th Cir. R. 34-4.

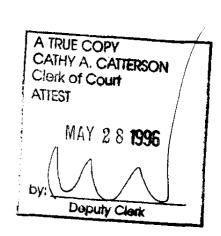
order granting the motion to dismiss, we affirm. <u>See Garrett v.</u>

<u>Merrill Lynch Pierce, Fenner & Smith, Inc.</u>, 7 F.3d 882, 883 (9th

Cir. 1993) (federal courts may hear claims under the Federal

Arbitration Act only when there is an independent basis for federal jurisdiction). 1/

AFFIRMED.



<sup>1/</sup> Balasubramani's motion to file a late reply brief is granted.

INTERNAL USE ONLY: Proceedings include all events. 95-56547 Balasubramani v. Merrill Lynch, et al

G BALASUBRAMANI

Plaintiff - Appellant

G Balasubramani (909) 699-6644 [COR LD NTC prs] 40413 Yardley Court Temecula, CA 92591

v.

MERRILL LYNCH, PIERCE, FENNER

& SMITH, INC.

Defendant - Appellee

Robert Logan, Esq. (310) 436-2000 [COR LD NTC ret] KESSAL YOUNG AND LOGAN

400 Oceangate

Long Beach, CA 90802-4240

## UNITED STATES COURT OF APPEALS FOR THE NINTH CIRCUIT

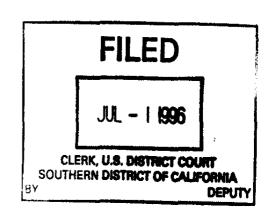
NO. 95-56547 CT/AG#: CV-95-00628-JNK

G BALASUBRAMANI

Plaintiff - Appellant

v.

MERRILL LYNCH, PIERCE, FENNER & SMITH, Inc. Defendant - Appellee



APPEAL FROM the United States District Court for the Southern District of California (San Diego) .

THIS CAUSE came on to be heard on the Transcript of the Record from the United States District Court for the Southern District of California (San Diego) and was duly submitted.

ON CONSIDERATION WHEREOF, It is now here ordered and adjudged by this Court, that the judgment of the said District Court in this cause be, and hereby is AFFIRMED.

Filed and entered May 3, 1996

A TRUE COPY CATHY A. CATTERSON Clerk of Court ATTEST MAY 28 **199**6 Deputy Clerk